



## Ethics Complaint

To the Grievance Committee of the:	<u>Charlotte Regional Realtor® Association (CRRA)</u>
Filed	<u>20</u>
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Complainant(s)	Respondent(s)

Complainant(s) charge(s):

An alleged violation of Article(s) \_\_\_\_\_ of the Code of Ethics or other membership duty as set forth in the Bylaws of the Charlotte Regional Realtor® and alleges that the above charge(s) (is/are) supported by the Association attached statement, which is signed and dated by the complainant(s).

**This complaint is true and correct to the best knowledge and belief of the undersigned, and is filed within one hundred eighty (180) days after the facts constituting the matter complained of could have been known in the exercise of reasonable diligence, or within one hundred eighty (180) days after the conclusion of the transaction, whichever is later.**

I (we) declare that to the best of my (our) knowledge and belief, my (our) allegations in this complaint are true.

Are the circumstances giving rise to this ethics complaint involved in civil or criminal litigation, or in any proceeding before the state real estate licensing authority or any other state or federal regulatory or administrative agency? If yes, please detail.       Yes       No

You may file an ethics complaint in any jurisdiction where a Realtor® is a member or MLS participant. Note that the Realtors® Code of Ethics, Standard of Practice 14-1, provides, in relevant part, “REALTORS® shall not be subject to disciplinary proceeding in more than one Association of REALTORS® . . . with respect to alleged violations of the Code of Ethics relating to the same transaction or event.”

Have you filed, or do you intend to file, a similar or related complaint with another Association(s) of Realtors® ?

Yes       No

If so, name of other Association(s):

Date(s) filed:

I understand that if the Grievance Committee dismisses this ethics complaint in part or in total, that I have twenty (20) days from my receipt of the dismissal notice to appeal the dismissal to the Board of Directors.

Complainant(s)/Type/Print

Phone

Signature

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Address

Email:

# Complaint/Narrative

## INDIVIDUALS INVOLVED IN THIS CASE

**Please use the space below to list the names of the individuals involved and their role in the transaction.**

*For example: John & Jane Doe, buyer of subject property; Mary Smith, buyer's agent; Sally Brown; seller; Joe White, Mary Smith's associate at XYZ Firm.*

## FACTS OF THIS CASE AND TIME-LINE OF EVENTS.

*Please complete this section by listing as much information as possible in a **clear and concise narrative form** (tell your story). **Use specific dates and examples** to support your allegation(s) that the Code of Ethics has been violated. Please mark your supporting documentation as Exhibits (see example.) Attach additional sheets as needed.*

**Example:** On January 1, 2013 I (name) was contacted by John Doe, regarding the property located at 1000 Main Street. On January 3, 2013 we (name the individuals present) visited the property which was listed by XYZ firm. On that day, John Doe signed the working with Real Estate Agents brochure (Exhibit 1) and also the Exclusive Buyer Agency Agreement (Exhibit 2) with an expiration date of June 30, 2013. We continued to work together to find John a home. I showed John approximately 30 houses throughout the months of January and February (Exhibit 3). On March 1, 2013 I called John but did not get a return call. On March 13, I finally heard back from John and on that date he told me he was in the process of purchasing a home through Mary Smith of XYZ firm. Etc.

## **SUMMARY OF COMPLAINT AS IT APPLIES TO THE CODE**

*Please use the space provided below to identify which specific Articles of the Code were violated. Each section should be a summary of how, based on the specific facts in your narrative, that particular Article was violated.*

**Example:** Article 16 of the Code of Ethics was violated because Mary Smith, knowing that I had secured a Buyer's Agency Agreement in place with John Doe, solicited his business and sold him a property while he was still under contract with me.

Based on the following specific facts Article \_\_\_\_\_ of the Code was violated.

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